



07/07/97

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Inventors : John S. HENDRICKS et al.

Serial No. : 08/794,637

Filed : February 3, 1997

For : BANDWIDTH ALLOCATION FOR A TELEVISION  
DELIVERY SYSTEM

Attorney Docket No.: 5033

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RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION  
*Filing Date Granted*

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attention: Application Division

Dear Sir:

In response to the letter of May 7, 1997, enclosed are:

Filing Fee \$ 70, plus surcharge \$ 130.00, totalling \$ 200;  
Information Disclosure Statement, PTO-1449, and copies  
of 43 of the 167 cited references;  
Declaration in accordance with 37 C.F.R. § 1.63; and  
Copy of the U.S. Patent and Trademark Office letter of  
May 7, 1997.

TOTAL FEE SUBMITTED \$ 200.00

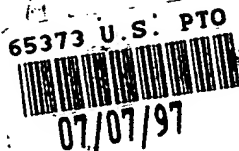
If any additional fee is required, please charge our Deposit Account No. 04-1425 and advise us accordingly.

Respectfully submitted,



Dated: July 7, 1997

Aldo Noto  
Registration No. 35,628  
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138-185 0300  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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08/794,637 02/03/97 HENDRICKS J 5033

0232/0507

ALDO NOTO  
1330 CONNECTICUT AVENUE NW  
SUITE 200  
WASHINGTON DC 20036

NOT ASSIGNED

2602  
DATE MAILED:

05/07/97

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130.00 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

*If all required items on this form are filed within the period set above, the total amount owed by applicant as a*  
☒ large entity ☐ small entity (verified statement filed), is \$ 200.00

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

*Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).*

☒ 2. Additional claim fees of \$ 10.00, including any multiple dependent claim fees, are required.  
*Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.*

☒ 3. The oath or declaration:

- ☒ is missing.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

*An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.*

☐ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.
- ☒ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

*An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.*

☐ 6. A \$\_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

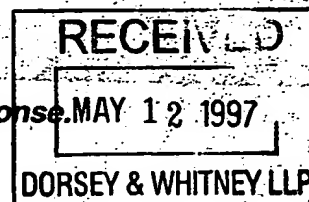
*See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."*

☐ 9. OTHER:

*Direct the response and any questions about this notice to "Attention: Box Missing Parts."*

**A copy of this notice MUST be returned with the response.**

Customer Service Center  
Initial Patent Examination Division (703) 205-1000



**BEST AVAILABLE COPY**